

# ESBT Future Model Options Appraisal: Scoring Sheet

## Option X

### Appendix 1

Name:  
Organisation:

Score	Scoring Guidance
1	Option fails to meet objectives
2	Option performs ok against objectives but doesn't represent an improvement on the current system
3	Option performs reasonably well against objectives and represents a modest improvement on the current system
4	Option performs significantly well against objectives and represents a significant improvement on the current system

	Appraisal Criteria	Option X
Principles and characteristics	1. Transformation (for sustainable services) Key indicators of what good looks like in this category:	Weighting 20
1, 2, 7, 8, 9	<ul style="list-style-type: none"><li>System sustainability with particular reference to primary care;</li><li>Scope and scale of services significantly reduce intra-system transactional costs;</li><li>Delivery partners outside core service provision work together for the benefit of our local population, including approaches to market development in localities;</li><li>Integrated IT system for staff, patients and clients;</li><li>'System-wide' leadership and management culture;</li><li>Vertically integrated care system;</li><li>Good acute networks across the wider STP delivery platform;</li><li>Increase of investment in prevention, primary and community care (including self-care and self-management), to be consistent with the ESBT Alliance Strategic Investment Plan;</li><li>Investment in prevention and early intervention reduces average per capita Year of Care cost;</li><li>Year on year delivery of the ESBT Alliance Strategic Investment Plan;</li><li>Improvements in key deliverables set out in the next steps of the updated NHS Five Year Forward View;</li><li>Focus on primary, secondary and tertiary prevention, self-care and self-management, to improve health and wellbeing and reduce health inequalities.</li></ul>	Score
3, 5, 6		
2, 7, 8		
4, 7, 8		
3, 7, 8, 9		
1, 2, 7		
6, 9		
1, 5, 9		
1, 5, 9		
1, 2, 5, 9		
1, 2, 3, 4, 6, 7, 9		
1, 3		
Principles and characteristics	2. Governance and Accountability – Key indicators of what good looks like in this category:	Weighting 10
4	<ul style="list-style-type: none"><li>Optimum levels of citizen leadership and governance;</li><li>Phased and assured transfer of risk;</li><li>CCG and Local Authority statutory functions are discharged;</li><li>Collective decision-making and governance structure that aligns with ongoing and continuing individual statutory accountabilities of the constituent bodies;</li><li>Optimum levels of clinical and professional governance;</li><li>A trusted health and care brand that inspires patient and client confidence;</li><li>Delivery within the current regulatory frame work.</li></ul>	Score
5, 6, 8, 9		
9		
9		
7, 8		
4, 7, 8, 9		
6, 9		
Principles and characteristics	3. Quality and Safety – Key indicators of what good looks like in this category:	Weighting 15
1, 2, 4, 7	<ul style="list-style-type: none"><li>Uniformly high standards in the management of frailty and LTCs (for example Diabetes, Heart Disease) by integrated primary care, specialist, and community teams;</li><li>Provision of care increasingly out of hospital and at lowest level of safe and effective care;</li><li>Delivery of constitutional operational standards (A&amp;E, RTT etc.);</li><li>Reduction in variation across all services;</li><li>Promotion of a safety culture;</li><li>Provision of continuity of primary care practitioner, where this exists;</li><li>Use of population health management capabilities (i.e. improved prevention, enhanced patient and client activation) to manage avoidable demand.</li></ul>	Score
1		
6, 8, 9		
4, 6, 7, 8		
4, 7, 8		
3, 4, 7, 8		
1, 3, 4		
Principles and characteristics	4. Clinical and Professional Sustainability – Key indicators of what good looks like in this category:	Weighting 20
7, 8	<ul style="list-style-type: none"><li>Provision of the right conditions for innovation, now and into the future;</li><li>Delivery of clinically effective care services at lowest level of effective care, and clinical and care excellence;</li><li>Workforce flexibility, and recruitment, retention and development of excellent staff across all sectors.</li></ul>	Score
1, 7, 8, 9		
7, 8		
Principles and characteristics	5. Access and Choice – Key indicators of what good looks like in this category:	Weighting 15
3, 4	<ul style="list-style-type: none"><li>Provision of choice and personalised programmes of care for children and adults with LTCs, disabilities and long term care and support needs;</li><li>Access to timely care that includes all sections of the community;</li><li>Evening and weekend access to GPs (target: 100% of the population covered by March 2019);</li><li>Access to community based services to enable people to remain in their own homes;</li><li>Patient choice for people with elective (planned) care needs, and increase the use of Personal Budgets and Direct Payments, and Personal Health Budgets (PHBs) where these are coming on line.</li></ul>	Score
1, 3, 4		
1, 3, 4, 8		
1, 2, 3, 4, 7		
3, 4		
Principles and characteristics	6. Deliverability – Key indicators of what good looks like in this category:	Weighting 10
5, 6, 9	<ul style="list-style-type: none"><li>Cost to implement this option (system costs including capital costs) is reasonable and viable;</li><li>Option can be delivered within a reasonable timescale and no later than 2020/21;</li><li>Transition costs are understood and of reasonable value;</li><li>Tax, VAT, insurance, procurement of care packages and charging implications are understood and affordable, and are in line with statutory frameworks;</li><li>Impacts on health and social care workforce are understood and manageable (Ts&amp;Cs and pensions);</li><li>No additional legal risks that will have a significant impact;</li><li>No impact on the viability of commissioners and providers outside of the ESBT system.</li></ul>	Score
5, 9		
5, 6, 9		
5, 6, 9		
2, 6, 7, 8, 9		
6, 9		
1, 5, 9		
Principles and characteristics	7. Financial Sustainability – Key indicators of what good looks like in this category:	Weighting 10
5, 9	<ul style="list-style-type: none"><li>Efficient working of the system reduces operating costs (including transactional commissioning costs);</li><li>Services are transformed to assist with the achievement of financial sustainability;</li><li>Financial risk is effectively managed;</li><li>Flexibility to respond to changes in future health and care financial regimes;</li><li>Organisation/vehicle operates as a going concern, able to meet the financial requirements of regulators and statutory bodies such as HMRC;</li><li>Improved provider productivity and reduction in variation</li><li>Incentivisation of outcomes and performance improvement</li></ul>	Score
1, 3, 5, 9		
3, 5, 6, 9		
9		
3, 4, 6, 7, 8, 9		
2, 7, 8		
4, 7, 8, 9		